

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of:

Hartley *et al.*

Appl. No. 10/058,291

Filed: January 30, 2002

For: **Recombinational Cloning Using
Engineered Recombination Sites**

Confirmation No. 3302

Art Unit: 1636

Examiner: *To Be Assigned*

Atty. Docket: 0942.285000I/RWE/BJD

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Information Disclosure Statement

Commissioner for Patents
Washington, D.C. 20231

*Via Hand Carry
Art Unit 1636*

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

In accordance with 37 C.F.R. § 1.98(a)(3), Applicants' undersigned representative submits the following, in regards to non-English language documents AM4 and AP4 cited on Form PTO 1449:

Document **AM4**, WO 98/53056, is in the German language. An unverified English language abstract of document AM4, obtained from Derwent WPI, is attached as document **AR66**.

Document **AP4**, WO 99/25851, is in the Japanese language. An unverified English language abstract of document AP4, obtained from Derwent WPI, is attached as document **AS66**.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates

should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits or before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



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Date: June 18, 2002

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FORM PTO-1449 <u>INFORMATION DISCLOSURE STATEMENT</u>		ATTY. DOCKET NO. 0942.285000I		APPLICATION NO. 10/058,199	
		APPLICANT Hartley et al.			
		FILING DATE January 30, 2002		TECH. CENTER 1600/2900	

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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA1	4,673,640	06/16/1987	Backman, K.C.	435	68	04/30/1984
	AB1	4,743,546	05/10/1998	Backman et al.	435	108	02/13/1985
	AC1	4,959,317	09/25/1990	Sauer B.L.	435	172.3	04/29/1987
	AD1	5,227,288	07/13/1993	Blattner, F.R.	435	6	10/01/1990
	AE1	5,286,632	02/15/1994	Jones	435	91.2	01/09/1991
	AF1	5,354,668	10/11/1994	Auerbach, J.I.	435	91.1	10/15/1993
	AG1	5,434,066	07/18/1995	Bebbee, R.L., et al.	435	172.3	03/15/1994
	AH1	5,470,727	11/28/1995	Mascarenhas et al.	435	172.3	12/21/1993
	AI1	5,527,695	06/18/1996	Hodges et al.	435	172.3	01/29/1993
	AJ1	5,591,609	01/07/1997	Auerbach, J.I.	435	91.2	02/03/1995
	AK1	5,614,389	03/25/1997	Auerbach, J.I.	435	91.2	09/26/1995

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL1	EP 0 160 571 A2	11/06/1985	Europe			Yes No
	AM1	EP 0 300 422	01/25/1989	Europe			Yes No
	AN1	WO 91/02801	03/07/1991	WIPO			Yes No
	AO1	WO 91/16427	10/31/1991	WIPO			Yes No
	AP1	WO 92/20791	11/26/1992	WIPO			Yes No

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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR	1	Abremski, K., and Gottesman, S., "Purification of the Bacteriophage λ xis Gene Product Required for λ Excisive Recombination," <i>J. Biol. Chem.</i> 257(16):9658-9662, American Society for Biochemistry and Molecular Biology, Inc. (1982).
	AS	1	Abremski, K., and Hoess, R., "Bacteriophage P1 Site-specific Recombination - Purification and Properties of the Cre Recombinase Protein," <i>J. Biol. Chem.</i> 259:1509-1514, American Society for Biochemistry and Molecular Biology, Inc. (1984).
	AT	1	Abremski, K., et al., "Bacteriophage P1 Cre-loxP Site-specific Recombination: Site-specific DNA Topoisomerase Activity of the Cre Recombination Protein," <i>J. Biol. Chem.</i> 261(1):391-396, American Society for Biochemistry and Molecular Biology, Inc. (1986).

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449 <u>INFORMATION DISCLOSURE STATEMENT</u>	ATTY. DOCKET NO. 0942.285000I	APPLICATION NO. 10/058,291
	APPLICANT Hartley et al.	
	FILING DATE January 30, 2002	GROUP 1636

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA2	5,635,381	06/03/1997	Hooykaas et al.	435	172.3	01/20/1995
	AB2	5,650,308	07/22/1997	Baum	435	172.3	06/07/1995
	AC2	5,658,772	08/19/1997	Odell et al.	435	172.3	07/27/1994
	AD2	5,677,170	10/14/1997	Devine et al.	435	20.1	03/02/1994
	AE2	5,677,177	10/14/1997	Wahl et al.	435	325	06/07/1995
	AF2	5,710,248	01/20/1998	Grose, C.F.	530	327	07/29/1996
	AG2	5,723,765	03/03/1998	Oliver et al.	800	208	06/07/1995
	AH2	5,733,733	03/31/1998	Auerbach, J.I.	435	6	02/01/1996
	AI2	5,733,743	03/31/1998	Johnson et al.	435	320.1	06/10/1996
	AJ2	5,744,336	04/28/1998	Hodges et al.	435	172.3	03/08/1996
	AK2	5,766,891	06/16/1998	Shuman, S.	435	91.41	12/19/1994

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL2	WO 93/15191	08/05/1993	WIPO			Yes No
	AM2	WO 93/19172	09/30/1993	WIPO			Yes No
	AN2	CA 2,141,412	02/17/1994	Canada			Yes No
	AO2	WO 94/09127	04/28/1994	WIPO			Yes No
	AP2	WO 94/17176	08/04/1994	WIPO			Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	2	Abremski, K., et al., "Studies on the Properties of P1 Site-Specific Recombination: Evidence for Topologically Unlinked Products Following Recombination," Cell 32:1301-1311, Cell Press (1993).
AS	2	Adams, D.E., et al., "Cre-lox Recombination in Escherichia coli Cells: Mechanistic Differences from the in Vitro Reaction," J. Mol. Biol. 226:661-673, Academic Press, Inc. (1992).
AT	2	Albert, H., et al., "Site-specific integration of DNA into wild-type and mutant lox sites placed in the plant genome," Plant J. 7:649-659 Oxford Bios Scientific Publishers And Blackwell Scientific Publications In Association With The Society For Experimental Biology (April 1995).

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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA3	5,776,449	07/07/1998	Baum et al.	424	93.2	09/20/1996
	AB3	5,830,707	11/03/1998	Bushman, F.D.	435	69.7	06/05/1995
	AC3	5,837,242	11/17/1998	Holliger et al.	424	136.1	05/14/1996
	AD3	5,843,772	12/01/1998	Devine, S.E., et al.	435	69.1	11/18/1994
	AE3	5,851,808	12/22/1998	Elledge et al.	435	172.3	02/28/1997
	AF3	5,858,657	01/12/1999	Winter et al.	435	6	06/07/1995
	AG3	5,871,907	02/16/1999	Winter et al.	435	6	03/31/1994
	AH3	5,874,259	02/23/1999	Szybalski, W.	435	91.1	11/21/1997
	AI3	5,888,732	03/30/1999	Hartley et al.	435	6	06/07/1996
	AJ3	5,916,804	06/29/1999	Bushman, F.D.	435	325	04/17/1998
	AK3	5,919,676	07/06/1999	Graham et al.	435	172.3	06/07/1995

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL3	WO 95/00555	01/05/1995	WIPO			Yes No
	AM3	WO 97/06265	02/20/1997	WIPO			Yes No
	AN3	WO 97/09436	03/13/1997	WIPO			Yes No
	AO3	WO 97/25446	07/17/1997	WIPO			Yes No
	AP3	WO 97/32481	09/12/1997	WIPO			Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	<u>3</u>	Andrews, B.J., et al., "The FLP Recombinase of the 2 μ Circle DNA of Yeast: Interaction with Its Target Sequences," Cell 40:795-803, Cell Press, Cambridge, MA (1985).
AS	<u>3</u>	Andrews, B.J., et al., "Interaction of the FLP Recombinase of the <i>Saccharomyces cerevisiae</i> 2 μ Plasmid with Mutated Target Sequences," Mol. Cell. Biol. 6:2482-2489, American Society for Microbiology, Washington, D.C. (1986).
AT	<u>3</u>	Anton, M., and Graham, F.L., "Site-Specific Recombination Mediated by an Adenovirus Vector Expressing the Cre Recombinase Protein: a Molecular Switch for Control of Gene Expression," J. Virol. 69:4600-4606, American Society for Microbiology, Washington, D.C. (August 1995).

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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA4	5,928,914	07/27/1999	Leboulch et al.	435	172.3	11/05/1996
	AB4	5,989,872	11/22/1999	Luo et al.	435	91.2	08/12/1997
	AC4	6,010,884	01/04/2000	Griffiths et al.	435	69.7	05/29/1996
	AD4	6,040,430	03/21/2000	Stewart	530	358	12/26/1995
	AE4	6,063,627	06/16/2000	McVey et al.	435	440	02/25/1998
	AF4	6,143,557 B1	11/07/2000	Hartley et al.	435	320.1	01/20/1999
	AG4	6,171,861 B1	01/09/2001	Hartley et al.	435	455	01/12/1998
	AH4	6,225,121 B1	05/01/2001	Savakis et al.	435	455	04/27/1998
	AI4	6,270,969 B1	08/07/2001	Hartley et al.	435	6	01/20/1999
	AJ4	6,277,608 B1	08/21/2001	Hartley et al.	435	91.4	04/22/1999
	AK4	2002/0007051 A1	01/17/2002	Cheo et al.	536	23.1	12/11/2000

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL4	WO 98/10086	03/12/1998	WIPO			Yes No
	AM4	WO 98/53056	11/26/1998	WIPO			Yes X No
	AN4	WO 99/10488	03/04/1999	WIPO			Yes No
	AO4	WO 99/21977	05/06/1999	WIPO			Yes No
	AP4	WO 99/25851	05/27/1999	WIPO			Yes X No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	<u>4</u>	Araki, H., et al., "Site-specific Recombinase, R, Encoded by Yeast Plasmid pSR1," <i>J. Mol. Biol.</i> 225:25-37, Academic Press, Inc., New York, NY (1992).
AS	<u>4</u>	Argos, P., et al., "The integrase family of site-specific recombinases: regional similarities and global diversity," <i>EMBO J.</i> 5(2):433-440, IRL Press Limited, Oxford (1986).
AT	<u>4</u>	Astumian, J.H. et al., "Site-specific recombination between cloned attP and attB sites from the Haemophilus influenza bacteriophage HP1 propagated in recombination deficient <i>Escherichia coli</i> ," <i>J. Bacteriol.</i> 171:1747-1750, American Society for Microbiology (1989).

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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
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FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL5	WO 00/12687	03/09/2000	WIPO			Yes No
	AM5	EP 1 035 208	09/13/2000	Europe			Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR	5	Atlung, T., et al., "A versatile method for integration of genes and gene fusions into the λ attachment site of <i>Escherichia coli</i> ," <i>Gene</i> 107:11-17, Elsevier (1991).
	AS	5	Ausubel, F.M., et al., "Maps of Plasmids pBR322 and pUC19," in <i>Current Protocols in Molecular Biology</i> , John Wiley & Sons, Inc. (April 1994).
	AT	5	Ausubel, F.M., et al., "Mutagenesis by the Polymerase Chain reaction," in: <i>Current Protocols in Molecular Biology</i> , John Wiley & Sons, Inc. pp. 8.5.1-8.5.9 (1991).

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
AR	<u>6</u>	Babineau, D. et al., "The FLP Protein of the 2-micron Plasmid of Yeast," <i>J. Biol. Chem.</i> 260:12313-12391, American Society for Biochemistry and Molecular Biology, Inc. (1985).	
AS	<u>6</u>	Balakrishnan, R., et al., "A gene cassette for adapting <i>Escherichia coli</i> strains as hosts for att-Int-mediated rearrangement and p_L expression vectors," <i>Gene</i> 138:101-104, Elsevier (January 1994).	
AT	<u>6</u>	Bayley, C.C., et al., "Exchange of gene activity in transgenic plants catalyzed by the Cre-lox site specific recombination system," <i>Plant Mol. Biol.</i> 18:353-361, Dordrecht Kluwer Academic (1992).	

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
AR	2	Bernard, P., and Couturier, M., "Cell Killing by the F plasmid Ccdb Protein Involves Poisoning of DNA-topoisomerase II Complexes," <i>J. Mol. Biol.</i> 226:735-745, Academic Press, Inc. (1992).	
AS	2	Bernard, P., et al., "Positive Selection vectors using the F plasmid ccdB killer gene," <i>Gene</i> 148:71-74, Elsevier Science Publishers B.V. (October 1994).	
AT	2	Bethke, B., and Sauer, B., "Segmental genomic replacement by Cre-mediated recombination: genotoxic stress activation of the p53 promoter in single-copy transformants," <i>Nucl. Acids Res.</i> 25:2828-2834, Oxford University Press (July 1997).	

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR	8	Betz, U.A.K., et al., "Bypass of lethality with mosaic mice generated by Cre-loxP-mediated recombination," <i>Curr. Biol.</i> 6:1307-1316, Current Biology Ltd. (October 1996).
	AS	8	Bhandari, P. and Gowrishankar, J., "An <i>Escherichia coli</i> Host Strain Useful for Efficient Overproduction of Cloned Gene Products with NaCl as the Inducer," <i>J. Bacteriol.</i> 179:4403-4406, American Society for Microbiology (July 1997).
	AT	8	Black, L.W., "In vitro packaging into phage T4 particles and specific recircularization of phage lambda DNAs," <i>Gene</i> 46:97-101, Elsevier (1986).

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR	2	Bloch, C.A., et al., "Purification of <i>Escherichia coli</i> Chromosomal Segments without Cloning," <i>Biochem. Biophys. Res. Comm.</i> 223:104-111, Academic Press, Inc. (June 1996).
	AS	2	Bochner, B. R., et al., "Positive Selection for Loss of Tetracycline Resistance," <i>J. Bacteriol.</i> 143:926-933, American Society for Microbiology (1980).
	AT	2	Boyd, A. C., "Turbo cloning: a fast, efficient method for cloning PCR products and other blunt-ended DNA fragments into plasmids," <i>Nucl. Acids Res.</i> 21(4):817-821, Oxford University Press (1993).

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>10</u>	Broach, J. R., et al., "Recombination within the Yeast Plasmid 2 μ Circle is Site-Specific," Cell 29:227-234, Cell Press (1982).
	AS	<u>10</u>	Brunelli, J. P. and Pall, M. L., "A Series of Yeast/ <i>Escherichia coli</i> λ Expression Vectors Designed for Directional Cloning of cDNAs and cre/lox-Mediated Plasmid Excision," Yeast 9:1309-1318, John Wiley (1993).
	AT	<u>10</u>	Brunelli, J.P., and Pall, M.L., "Lambda/Plasmid Vector Construction by In Vivo cre/lox-Mediated Recombination," BioTechniques 16(6):1061-1064, Eaton Publishing Company (June 1994).

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	AN						Yes No
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	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR	<u>11</u>	Bubeck, P., et al., "Rapid cloning by homologous recombination in vivo," Nucl. Acids Res. 21:3601-3602, Oxford University Press (1993).
	AS	<u>11</u>	Buchholz, F., et al., "A simple assay to determine the functionality of Cre or FLP recombination targets in genomic manipulation constructs," Nucl. Acids Res. 24(15):3118-3119, Oxford University Press (August 1996).
	AT	<u>11</u>	Buchholz, F., et al., "Different thermostabilities of FLP and Cre recombinases: implications for applied site-specific recombination," Nucl. Acids Res. 24(21):4256-4262, Oxford University Press (November 1996).

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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)							
	AR	<u>12</u>	Bushman, W., et al., "Control of Directionality in Lambda Site Specific Recombination," <i>Science</i> 230:906-911, Association for the Advancement of Science (1985).				
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	AT	<u>12</u>	Chapin, S.J., et al., "Differential expression of alternatively spliced forms of MAP4: a repertoire of structurally different microtubule-binding domains," <i>Biochem.</i> 34:2289-2301, American Chemical Society, Washington D.C. (February 1995).				
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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR	<u>13</u>	Chatterjee, P.K., and Coren, J.S., "Isolating large nested deletions in bacterial and P1 artificial chromosomes by <i>in vivo</i> P1 packaging of products of Cre-catalyzed recombination between the endogenous and a transposed loxP site," <i>Nucl. Acids Res.</i> 25:2205-2212, Oxford University Press (June 1997).
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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR	<u>14</u>	Dale, E.C. and Ow, D.W., "Intra- and intermolecular site-specific recombination in plant cells mediated by bacteriophage P1 recombinase," <i>Gene</i> 91:79-85, Elsevier (1990).
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	AR	<u>15</u>	Dang, D.T. and Perrimon, N., "Use of a Yeast Site-Specific Recombinase to Generate Embryonic Mosaics in <i>Drosophila</i> ," <i>Develop. Genetics</i> 13:367-375, Wiley-Liss, Inc. (1992).				
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	AR	<u>17</u>	Elledge, S. J., et al., "λYES: A multifunctional cDNA expression vector for the isolation of genes by complementation of yeast and <i>Escherichia coli</i> mutations," <i>Proc. Natl. Acad. Sci. USA</i> 88:1731-1735, National Academy of Sciences of the USA (1991).
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	AR	<u>18</u>	Fiering, S., et al., "An "in-out" strategy using gene targeting and FLP recombinase for the functional dissection of complex DNA regulatory elements: Analysis of the β -globin locus control region," <i>Proc. Natl. Acad. Sci. USA</i> 90:8469-8473, National Academy of Sciences of the USA (1993).
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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>19</u>	Fukushige, S. and Sauer, B., "Genomic targeting with a positive-selection lox integration vector allows highly reproducible gene expression in mammalian cells," <i>Proc. Natl. Acad. Sci. USA</i> 89:7905-7909, National Academy of Sciences of the USA (1992).
	AS	<u>19</u>	Gage, P.J., et al., "A Cell-Free Recombination System for Site-Specific Integration of Multigenic Shuttle Plasmids into Herpes Simplex Type 1 Genome," <i>J. Virol.</i> 66:5509-5515, American Society for Microbiology (1992).
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	AR	<u>20</u>	Glasgow, A.C., et al., "DNA-binding Properties of the Hin Recombinase," <i>J. Biol. Chem.</i> 264:10072-10082, American Society for Biochemistry and Molecular Biology, Inc. (1989).
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	AT	<u>20</u>	Götz, F., et al., "Escherichia coli 30S mutants lacking protein S20 are defective in translation initiation," <i>Biochim. Biophys. Acta</i> 1050:93-97, Elsevier Publishing Co. (1990).

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	AR	<u>21</u>	Green, R. and Noller, H.F., "Ribosomes and Translation," <i>Ann. Rev. Biochem.</i> 66:679-716, Annual Reviews, Inc. (July 1997).
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	AT	<u>21</u>	Guo, F., et al., "Asymmetric DNA bending in the Cre-loxP site-specific recombination synapse," <i>Proc. Natl. Acad. Sci. USA</i> 96:7143-7148, National Academy of Sciences of the USA (June 1999).

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AR	22	Hall, R.M., and Collis, C.M., "Mobile gene cassettes and integrons: capture and spread of genes by site-specific recombination," <i>Mol. Microbiol.</i> 15:593-600, Blackwell Scientific Publications (February 1995).	
AS	22	Hardy, S., et al., "Construction of Adenovirus Vectors through Cre-lox Recombination," <i>J. Virol.</i> 71(3):1842-1849, American Society for Microbiology (March 1997).	
AT	22	Hasan, N., and Szybalski, W., "Control of cloned gene expression by promoter inversion in vivo: construction of improved vectors with a multiple cloning site and the p_{tac} promoter," <i>Gene</i> 56:145-151, Elsevier (1987).	

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AR	<u>23</u>		Hasan, N., et al., "Escherichia coli genome targeting, I. Cre-lox-mediated in vitro generation of ori ⁺ plasmids and their in vivo chromosomal integration and retrieval," <i>Gene</i> 150:51-56, Elsevier (December 1994).
AS	<u>23</u>		Hashimoto-Gotoh, T., et al., "Improved vector, pHSG664, for direct streptomycin-resistance selection: cDNA cloning with G:C-tailing procedure and subcloning of double-digested DNA fragments," <i>Gene</i> 41:125-128, Elsevier (1986).
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AR	<u>24</u>		Hoess, R.H., et al., "P1 site-specific recombination: Nucleotide sequence of the recombining sites," <i>Proc. Natl. Acad. Sci. USA</i> 79:3398-3402, National Academy of Sciences of the USA (1982).
AS	<u>24</u>		Hoess, R.H., et al., "Mechanism of Strand Cleavage and Exchange in the Cre-lox Site-specific Recombination System," <i>J. Mol. Biol.</i> 181:351-362, Academic Press, Inc. (1985).
AT	<u>24</u>		Hoess, R., et al., "Formation of small circular DNA molecules via an in vitro site-specific recombination system," <i>Gene</i> 40:325-329, Elsevier (1985).

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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO. 0942.285000I	APPLICATION NO. 10/058,291
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	AL						Yes No
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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
AR	<u>25</u>	Hoess, R. H., et al., "The role of the loxP spacer region in P1 site-specific recombination," Nucl. Acids Res. 14(5):2287-2300, Oxford University Press (1986).	
AS	<u>25</u>	Hoess, R. H., and Abremski, K., "The Cre-lox Recombination System," in: Nucleic Acids and Molecular Biology, Vol. 4, ed. by Eckstein, F. and D. M. J. Lilley, Springer-Verlag, Berlin, pp 99-109 (1990).	
AT	<u>25</u>	Holt, C.L., and May, G.S., "A novel phage λ replacement Cre-lox vector that has automatic subcloning capabilities," Gene 133:95-97, Elsevier (1993).	

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	AR	<u>26</u>	Hoogenboom, H.R., et al., "Multi-subunit proteins on the surface of filamentous phage: methodologies for displaying antibody (Fab) heavy and light chains," Nucl. Acids Res. 19:4133-4137, Oxford University Press (1991).
	AS	<u>26</u>	Jaffé, A., et al., "Effects of the ccd Function of the F Plasmid on Bacterial Growth," J. Bacteriol. 163:841-849, American Society for Microbiology (1985).
	AT	<u>26</u>	Jayaram, M., "The Int family of site-specific recombinases: Some thoughts on a general reaction mechanism," J. Genet. 67:29-36, Indian Academy of Sciences (1988).

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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR	27	Jeong, J.-H., et al., "Cloning and nucleotide sequencing of the genes, <i>rplU</i> and <i>rpmA</i> , for ribosomal proteins L21 and L27 of <i>Escherichia coli</i> ," <i>J. DNA sequencing and Mapping</i> 4:59-67, Harwood Academic Publishers GmbH (1993).
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	AR	<u>28</u>	Kilby, N.J., et al., "Site-specific recombinases: tools for genome engineering," <i>Trends Genet.</i> 9:413-421, Elsevier Science Publishers Ltd. (1993).
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	AT	<u>28</u>	Kitts, P.A. and Nash, H.A., "Bacteriophage Lambda Site-Specific Recombination Proceeds with a Defined Order of Strand Exchanges," <i>J. Mol. Biol.</i> 204:95-107, Academic Press, Inc. (1988).

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	AR	<u>29</u>	Kozak, M., "An analysis of 5'-noncoding sequences from 699 vertebrate messenger RNAs," Nucl. Acids res. 15:8125-8132, Oxford University Press (1987).
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	AR	<u>30</u>	Kühn, R., et al., "Inducible Gene Targeting in Mice," <i>Science</i> 269:1427-1429, Association for the Advancement of Science (September 1995).
	AS	<u>30</u>	Lafontaine, D., and Tollervey, D., "One-step PCR mediated strategy for the construction of conditionally expressed and epitope tagged yeast proteins," <i>Nucl. Acids Res.</i> 24:2469-2472, Oxford University Press (September 1996).
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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR	<u>31</u>	Lakso, M., et al., "Targeted oncogene activation by site-specific recombination in transgenic mice," <i>Proc. Natl. Acad. Sci. USA</i> 89:6232-6236, National Academy of Sciences of the USA (1992).
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AR	<u>32</u>	Landy, A., "Mechanistic and structural complexity in the site-specific recombination pathways of Int and FLP," <i>Curr. Op. Genet. Develop.</i> 3:699-707, Current Biology Ltd. (1993).
AS	<u>32</u>	Lebreton, B., et al., "Mutations That Improve the Binding of Yeast FLP Recombinase to Its Substrate," <i>Genetics</i> 118:393-400, Genetics Society of America (1988).
AT	<u>32</u>	Lee, E.C., et al., "Genetic Analysis of <i>Escherichia coli</i> Integration Host Factor Interactions with Its Bacteriophage λ H' Recognition Site," <i>J. Bacteriol.</i> 173:609-617, American Society for Microbiology (1991).

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	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>33</u>	Lee, G., and Saito, I., "Role of nucleotide sequences of loxP spacer region in Cre-mediated recombination," <i>Gene</i> 216:55-65, Elsevier Science B.V. (August 1998).
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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>34</u>	Lorbach, E. et al., "Site-specific Recombination in Human Cells Catalyzed by Phage λ Integrase Mutants," <i>J. Mol. Biol.</i> 296:1175-1181, Academic Press, Inc. (March 2000).
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	AT	<u>34</u>	Mackie, G.A., "Nucleotide Sequence of the Gene for Ribosomal Protein S20 and Its Flanking Regions," <i>J. Biol. Chem.</i> 256:8177-8182, American Society for Biochemistry and Molecular Biology, Inc. (1981).

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	AR	35	Maeser, S., and Kahmann, R., "The Gin recombinase of phage Mu can catalyze site-specific recombination in plant protoplasts," <i>Mol. Gen. Genet.</i> 230:170-176, Springer-Verlag (1991).
	AS	35	Mahillon, J., et al., "IS231 and other <i>Bacillus thuringiensis</i> transposable elements: a review," <i>Genetica</i> 93:13-26, Dordrecht Kluwer Academic Publishers (November 1994).
	AT	35	Matsuzaki, H., et al., "Chromosome Engineering in <i>Saccharomyces cerevisiae</i> by Using a Site-Specific Recombination System of a Yeast Plasmid," <i>J. Bacteriol.</i> 172:610-618, American Society for Microbiology (1990).

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	AR	36	McCarthy, J.E. and Brimacombe, R., "Prokaryotic translation: the interactive pathway leading to initiation," Trends Genet. 10:402-407, Elsevier Science Publishers Ltd. (November 1994).
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	AT	36	Miki, T. et al., "Control of Segregation of Chromosomal DNA by Sex Factor F in Escherichia coli. Mutants of DNA Gyrase Subunit A Suppress letD (ccdB) Product Growth Inhibition," J. Mol. Biol. 225:39-52, Academic Press, Inc. (1992).

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	AR	37	Mizuuchi, K., and Mizuuchi, K., "Integrative Recombination of Bacteriophage λ : In Vitro Study of the Intermolecular Reaction," <i>Cold Spring Harb. Symp. Quant. Biol.</i> 43:1111-1114, Cold Spring Harbor Laboratory Press (1979).
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	AT	37	Mozo, T. and Hooykaas, P. J. J., "Design of a novel system for the construction of vectors for Agrobacterium-mediated plant transformation," <i>Mol. Gen. Genet.</i> 236:1-7, Springer-Verlag (1992).

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AT	38	Nash, H.A., "Integrative Recombination of Bacteriophage Lambda DNA <i>In Vitro</i> ," <i>Proc. Natl. Acad. Sci. USA</i> 72:1072-1076, National Academy of Sciences of the USA (1975).

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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO. 0942.285000I	APPLICATION NO. 10/058,291
	APPLICANT Hartley et al.	
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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

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AR	<u>39</u>	Nash, H.A., and Robertson, C.A., "Purification and properties of the <i>Escherichia coli</i> protein factor required for lambda integrative recombination," <i>J. Biol. Chem.</i> 256:9246-9253, American Society for Biochemistry and Molecular Biology, Inc. (1981).
AS	<u>39</u>	Nash, H. A., "Purification and Properties of the Bacteriophage Lambda Int Protein," <i>Meth. Enzymol.</i> 100:210-216, Academic Press Inc. (1983).
AT	<u>39</u>	Nash, H. A., et al., "Role of homology in site-specific recombination of bacteriophage λ : Evidence against joining of cohesive ends," <i>Proc. Natl. Acad. Sci. USA</i> 84:4049-4053, National Academy of Sciences of the USA (1987).

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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	<u>40</u>	Nash, H. and C.A. Robertson, "Heteroduplex substrates for bacteriophage lambda site-specific recombination: cleavage and strand transfer products," <i>EMBO J.</i> 8:3523-3533, IRL Press Limited (1989).
AS	<u>40</u>	Nash, H.A., "Bending and supercoiling of DNA at the attachment site of bacteriophage λ ," <i>Trends Biochem. Sci</i> 15:222-227, International Union of Biochemistry and Elsevier Trends Journal, Cambridge, England (1990).
AT	<u>40</u>	Nomura, M., et al., "Regulation of the Synthesis of Ribosomes and Ribosomal Components," <i>Ann. Rev. Biochem.</i> 53:75-117, Annual Reviews, Inc., Palo Alto, CA (1984).

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AR	<u>41</u>	Numrych, T. E., et al., "A comparison of the effects of single-base and triple-base changes in the integrase arm-type binding sites on the site-specific recombination of bacteriophage lambda," Nucl. Acids Res. 18:3953-3959, Oxford University Press, Oxford, England (1990).
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AR	<u>42</u>	Nunes-Duby, et al., "Similarities and differences among 105 members of the Int family of site-specific recombinases," Nucl. Acids Res. 26:391-406, Oxford University Press (January 1998).
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AR	<u>43</u>	Orban, P. C., et al., "Tissue- and site-specific DNA recombination in transgenic mice," <i>Proc. Natl. Acad. Sci. USA</i> 89:6861-6865, National Academy of Sciences of the USA (1992).
AS	<u>43</u>	Osborne, B.I., et al., "A system for insertional mutagenesis and chromosomal rearrangement using the Ds transposon and Cre-lox," <i>Plant J.</i> 7:687-701, Oxford Bios Scientific Publishers And Blackwell Scientific Publications In Association With The Society For Experimental Biology (April 1995).
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AR	<u>44</u>	Palazzolo, M. J., et al., "Phage lambda cDNA cloning vectors for subtractive hybridization, fusion-protein synthesis and Cre-loxP automatic plasmid subcloning," <i>Gene</i> 88:25-36, Elsevier (1990).
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	AM						Yes No
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AR	45	Peakman, T. C., et al., "Highly efficient generation of recombinant baculoviruses by enzymatically mediated site-specific in vitro recombination," <i>Nucl. Acids Res.</i> 20:495-500, Oxford University Press (1992).
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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR	<u>46</u>	Pierce, J. C., et al., "A positive selection vector for cloning high molecular weight DNA by the bacteriophage P1 system: Improved cloning efficacy," Proc. Natl. Acad. Sci. USA 89:2056-2060, National Academy of Sciences of the USA (1992).
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AR	<u>47</u>	Prasad, P. V., et al., "Substrate Recognition by the 2 μ m Circle Site-Specific Recombinase: Effect of Mutations within the Symmetry Elements of the Minimal Substrate," <i>Mol. Cell. Biol.</i> 6:4329-4334, American Society for Microbiology (1986).	
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	AM						Yes No
	AN						Yes No
	AO						Yes No
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AR	<u>50</u>	Sauer, B., "Functional Expression of the <i>cre-lox</i> Site-Specific Recombination System in the Yeast <i>Saccharomyces cerevisiae</i> ," <i>Mol. Cell. Biol.</i> 7:2087-2096, American Society for Microbiology (1987).
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AR	<u>51</u>	Sauer, B., "Manipulation of Transgenes by Site-Specific Recombination: Use of Cre Recombinase," <i>Meth. Enzymol.</i> 225:890-900, Academic Press Inc. (1993).	
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AT	<u>51</u>	Sauer, B., "Multiplex Cre/lox recombination permits selective site-specific DNA targeting to both a natural and an engineered site in the yeast genome," <i>Nucl. Acids Res.</i> 24(23):4608-4613, Oxford University Press (December 1996).	

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AR	52	Sauer, B., "Inducible Gene Targeting in Mice Using the Cre/lox System," <i>Methods</i> 14:381-392, Academic Press, Inc. (April 1998).
AS	52	Schindelbauer, D., and Cooke, H.J., "Efficient combination of large DNA in vitro: in gel site specific recombination (IGSSR) of PAC fragments containing α satellite DNA and the human HPRT gene locus," <i>Nucl. Acids Res.</i> 25(11):2241-2243, Oxford University Press (June 1997).
AT	52	Schlake, T., and Bode, J., "Use of Mutated FLP Recognition Target (FRT) Sites for the Exchange of Expression Cassettes at Defined Chromosomal Loci," <i>Biochem.</i> 33:12746-12751, American Chemical Society (November 1994).

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
AR	<u>53</u>	Segall, A. M. and Nash, H. A., "Synaptic intermediates in bacteriophage lambda site-specific recombination: integrase can align pairs of attachment sites," <i>EMBO J.</i> 12:4567-4576, IRL Press Limited (1993).	
AS	<u>53</u>	Segall, A.M., and Nash, H.A., "Architectural flexibility in lambda site-specific recombination: three alternate conformations channel the attL site into three distinct pathways," <i>Genes to Cells</i> 1:453-463, Blackwell Science Ltd. (May 1996).	
AT	<u>53</u>	Senecoff, J.F., et al., "DNA Recognition by the FLP Recombinase of the Yeast 2 μ Plasmid - A Mutational Analysis of the FLP Binding Site," <i>J. Mol. Biol.</i> 201:405-421, Academic Press, Inc., New York, NY (1988).	

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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO. 0942.285000I	APPLICATION NO. 10/058,291
	APPLICANT Hartley et al.	
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	AL						Yes No
	AM						Yes No
	AN						Yes No
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AR	<u>54</u>	Sheffield, P. et al., "Overcoming Expression and Purification Problems of RhoGDI Using a Family of "Parallel" Expression Vectors," <i>Protein Expr. Purific.</i> 15:34-39, Academic Press, New York, NY (February 1999).
AS	<u>54</u>	Shuman, S., "Recombination mediated by vaccinia virus DNA topoisomerase I in <i>Escherichia coli</i> is sequence specific," <i>Proc. Natl. Acad. Sci. USA</i> 88:10104-10108, National Academy of Sciences of the USA, Washington, D.C. (1991).
AT	<u>54</u>	Simpson, J.C., et al., "Systematic subcellular localization of novel proteins identified by large-scale cDNA sequencing," <i>EMBO Reports</i> 1:287-292, IRL Press Limited (September 2000).

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AR	55	Sizemore, C., et al., "Quantitative analysis of Tn10 Tet repressor binding to a complete set of tet operator mutants," <i>Nucl. Acids Res.</i> 18(10):2875-2880, Oxford University Press (1990).
AS	55	Smith, A. J. H., et al., "A site-directed chromosomal translocation induced in embryonic stem cells by Cre-loxP recombination," <i>Nat. Gen.</i> 9:376-385, Nature Publishing Co. (April 1995).
AT	55	Snaith, M.R., et al., "Multiple cloning sites carrying loxP and FRT recognition sites for the Cre and Flp site-specific recombinases," <i>Gene</i> 166:173-174, Elsevier (December 1995).

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	AL						Yes No
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AT	<u>56</u>	Sternberg, N., et al., "Bacteriophage P1 cre Gene and its Regulatory Region," J. Mol. Biol. 187:197-212, Academic Press, Inc. (1986).

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AR	<u>57</u>	Sternberg, N., "Bacteriophage P1 cloning system for the isolation, amplification, and recovery of DNA fragments as large as 100 kilobase pairs," <i>Proc. Natl. Acad. Sci. USA</i> 87:103-107, National Academy of Sciences of the USA (1990).
AS	<u>57</u>	Storck, T., et al., "Rapid construction in yeast of complex targeting vectors for gene manipulation in the mouse," <i>Nucl. Acids Res.</i> 24:4594-4596, Oxford University Press (November 1996).
AT	<u>57</u>	Stratagene catalog, "Gene Characterization Kits," pp. 39, Stratagene (1988).

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	AL						Yes No
	AM						Yes No
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	AR	<u>58</u>	Strathmann, M., et al., "Transposon-facilitated DNA sequencing," <i>Proc. Natl. Acad. Sci. USA</i> 88:1247-1250, National Academy of Sciences of the USA (1991).
	AS	<u>58</u>	Thompson, J. F., et al., "Mutations in an Integration Host Factor-Binding Site: Effect on Lambda Site-Specific Recombination and Regulatory Implications," <i>J. Bacteriol.</i> 168:1343-1351, American Society for Microbiology (1986).
	AT	<u>58</u>	Thompson, J.F., et al., "Helical-repeat dependence of integrative recombination of bacteriophage λ : Role of the P1 and H1 protein binding sites," <i>Proc. Natl. Acad. Sci. USA</i> 85:6323-6327, National Academy of Sciences of the USA (1988).

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	AR	<u>59</u>	Thorpe, H.M., and Smith, M.C.M., "In vitro site-specific integration of bacteriophage DNA catalyzed by a recombinase of the resolvase/invertase family," <i>Proc. Natl. Acad. Sci. USA</i> 95:5505-5510, National Academy of Sciences of the USA (May 1998).
	AS	<u>59</u>	Tsurushita, N., et al., "Phage display vectors for in vivo recombination of immunoglobulin heavy and light chain genes to make large combinatorial libraries," <i>Gene</i> 172:59-63, Elsevier (June 1996).
	AT	<u>59</u>	Vanin, E.F., et al., "Development of High-Titer Retroviral Producer Cell Lines by Using Cre-Mediated Recombination," <i>J. Virol.</i> 71:7820-7826, American Society for Microbiology (October 1997).

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	AM						Yes No
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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR	<u>60</u>	Venkatesh, T.V., and Redding, C.M., "Ribosomal Protein S1 and NusA Protein Complexed to Recombination Protein β of Phage λ ," <i>J. Bacteriol.</i> 175:1844-1846, American Society for Microbiology (1993).
	AS	<u>60</u>	Wang, G., et al., "pDUAL: A transposon-based cosmid cloning vector for generating nested deletions and DNA sequencing templates <i>in vivo</i> ," <i>Proc. Natl. Acad. Sci. USA</i> 90:7874-7878, National Academy of Sciences of the USA (1993).
	AT	<u>60</u>	Wasserman, S.A., et al., "The helical repeat of double-stranded DNA varies as a function of catenation and supercoiling," <i>Nature</i> 334:448-450, Macmillan Publishers Ltd. (1988).

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	<u>61</u>	Waterhouse, P., et al., "Combinatorial infection and <i>in vivo</i> recombination: a strategy for making large phage antibody repertoires," <i>Nucl. Acids Res.</i> 21(9):2265-2266, Oxford University Press (1993).
AS	<u>61</u>	Wierzbicki, A., et al., "A Mutational Analysis of the Bacteriophage P1 Recombinase Cre," <i>J. Mol. Biol.</i> 195:785-794, Academic Press, Inc. (1987).
AT	<u>61</u>	Weisberg, R. A., and Landy, A., "Site-specific Recombination in Phage Lambda," in: " <i>Lambda II</i> ," Hendrix, R. W. et al., eds., Cold Spring Harbor Laboratory Press, Cold Spring Harbor, N. Y., pp. 211-250 (1983).

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	<u>62</u>	Wild, J., et al., "A broad-host-range in vivo pop-out and amplification system for generating large quantities of 50- to 100-kb genomic fragments for direct DNA sequencing," <i>Gene</i> 179:181-188, Elsevier (November 1996).
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AT	<u>62</u>	Winoto, A., et al., "Directional Control of Site-specific Recombination by Bacteriophage λ ," <i>J. Mol. Biol.</i> 192:677-680, Academic Press, Inc. (1986).

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	AO						Yes No
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	AR	<u>63</u>	Wittman, H.G., "Components of Bacterial Ribosomes," <i>Ann. Rev. Biochem.</i> 51:155-183, Annual Reviews, Inc. (1982).
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	AT	<u>63</u>	Yang, W., and Mizuuchi, K., "Site-specific recombination in plane view," <i>Structure</i> 5:1401-1406, Current Biology (November 1997).

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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	<u>64</u>	Yanisch-Perron, C., et al., "Improved M13 phage cloning vectors and host strains: nucleotide sequences of the M13mp18 and pUC19 vectors," <i>Gene</i> 33:103-119, Elsevier (1985).
AS	<u>64</u>	Yoon, Y.G., et al., "Cre/loxP-mediated in vivo excision of large segments from yeast genome and their amplification based on the 2 μ m plasmid-derived system," <i>Gene</i> 223:67-76, Elsevier (November 1998).
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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	<u>65</u>	Zahra, D.G., et al., "Selective in-vivo recombination to increase antibody library size - an improved phage display vector system," <i>Gene</i> 227:49-54, Elsevier Science Publishers B.V. (February 1999).
AS	<u>65</u>	Zhang, Y. et al., "A new logic for DNA engineering using recombination in <i>Escherichia coli</i> ," <i>Nat. Genet.</i> 20:123-128, Nature Publishing Co. (October 1998)
AT	<u>65</u>	Zhu, et al., "Homology requirements for ligation and strand exchange by the FLP recombinase," <i>J. Biol. Chem.</i> 270:11646-11653, American Society for Biochemistry and Molecular Biology, Inc. (May 1995).

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AR	<u>66</u>	Dialog File 351 (Derwent World Patents Index), unverified English language abstract for WIPO/PCT Publication No. WO 98/53056 (Document No. AM4); WPI Accession No. 1999-000502/199901.
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AT	<u>66</u>	International Search Report for International Application No. PCT/US98/22589, mailed October 26, 1998.

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	AR	<u>67</u>	Pending U.S. Non-Provisional Patent Application No. 09/177,387, filed October 23, 1998.
	AS	<u>67</u>	Pending U.S. Non-Provisional Patent Application No. 09/432,085, filed November 02, 1999.
	AT	<u>67</u>	Pending U.S. Non-Provisional Patent Application No. 09/438,358, filed November 12, 1999.

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FORM PTO-1449

INFORMATION DISCLOSURE STATEMENTATTY. DOCKET NO.
0942.285000IAPPLICATION NO.
10/058,291APPLICANT
Hartley et al.FILING DATE
January 30, 2002GROUP
1636

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA						
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>68</u>	Pending U.S. Non-Provisional Patent Application No. 09/498,074, filed February 4, 2000.
	AS	<u>68</u>	Pending U.S. Non-Provisional Patent Application No. 09/517,466, filed March 2, 2000.
	AT	<u>68</u>	Pending U.S. Non-Provisional Patent Application No. 09/518,188, filed March 2, 2000.

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	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
AR	<u>69</u>	Pending U.S. Non-Provisional Patent Application No. 09/695,065, filed October 25, 2000.	
AS	<u>69</u>	Pending U.S. Non-Provisional Patent Application No. 09/732,914, filed December 11, 2000.	
AT	<u>69</u>	CLONTECH, "Creator™ Gene Cloning & Expression System," CLONTECHniques 15:7-11, CLONTECH, (April 2000).	

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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	70	CLONTECH, "New Creator" -Compatible Expression Systems," CLONTECHniques 15:2 pages, CLONTECH, (October 2000).
AS	70	CLONTECH, "Creator" Acceptor Vector Construction Kit," CLONTECHniques 16:2 pages, CLONTECH, (October 2001).
AT	70	CLONTECH, "Creator" pDNR-Dual Cloning Kit," CLONTECHniques 16:3 pages, CLONTECH, (October 2001).

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	AO						Yes No
	AP						Yes No

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AR	<u>71</u>	CLONTECH, "Creator™ SMART™ Library Construction Kit," CLONTECHniques 16:2 pages, CLONTECH, (October 2001).
AS	<u>71</u>	CLONTECH, "Creator™: The Universal Platform for Analysis of Gene Function," Powerpoint Presentation, pp. 1-9, CLONTECH, (July 24, 2001), available at http://www.clontech.com/products/families/creator/popups/slpage1.shtml .
AT	<u>71</u>	CLONTECH, "New Additions to the Creator™ Platform," CLONTECHniques 16:1-4, CLONTECH, (January 2001).

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